

CENPATICO
STATEMENT OF FINANCIAL POSITION
AS OF: December 31, 2013

ASSETS

CURRENT ASSETS

101 Cash (Disclose on Schedule A)	31,811,562
102 Current Investments	0
103 Accounts Receivable (net) (Disclose on Schedule A)	2,480,648
104 Notes Receivable (current portion)	0
105 Prepaid Expenses	229,334
106 Other Current Assets (Disclose on Schedule A)	<u>2,129,472</u>
107 Total Current Assets	<u>36,651,016</u>

NON-CURRENT ASSETS

108 Land	0
109 Building	0
110 Leasehold Improvements	691,331
111 Furniture and Equipment	895,811
112 Vehicles	<u>0</u>
113 Total Property and Equipment	1,587,141
114 Less: Accumulated Depreciation	<u>1,272,906</u>
115 Net Property and Equipment	<u>314,235</u>
116 Notes Receivable (net of current portion)	0
117 Performance Bond (Disclose on Schedule A)	0
118 Long Term Investments	1,500,000
119 Deposits	69,270
120 Other Non-current Assets (Disclose on Schedule A)	<u>0</u>
121 Total Non-current Assets	<u>1,883,505</u>

122 TOTAL ASSETS	<u><u>38,534,521</u></u>
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LIABILITIES AND NET ASSETS/EQUITY

CURRENT LIABILITIES

201 Incurred But Not Reported Claims (Disclose on Sch. A)	3,990,280
202 Reported But Unpaid Claims	182,725
203 Payable to ADHS (Disclose on Schedule A)	5,490,404
204 Payable to Providers	4,041,492
205 Trade Accounts Payable	0
206 Accrued Salaries and Benefits	0
207 Long-term Debt (current portion)	0
208 Deferred Revenue (Disclose on Schedule A)	0
209 Risk Pool Payable	0
210 Other Current Liabilities (Disclose on Schedule A)	<u>1,222,423</u>
211 Total Current Liabilities	<u>14,927,323</u>

NON-CURRENT LIABILITIES

212 Long-term debt (net of current portion)	0
213 Loss Contingencies (Disclose on Schedule A)	0
214 Other Noncurrent Liabilities (Disclose on Schedule A)	<u>79,714</u>
215 Total Noncurrent Liabilities	<u>79,714</u>

216 TOTAL LIABILITIES	<u><u>15,007,037</u></u>
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217 NET ASSETS/EQUITY

Unrestricted Net Assets	23,527,484
Restricted Net Assets (Disclose on Schedule A)	<u>0</u>

218 TOTAL LIABILITIES AND NET ASSETS/EQUITY	<u><u>38,534,521</u></u>
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CENPATICO
STATEMENT OF FINANCIAL POSITION

AS OF: December 31, 2013
Schedule A Disclosures

ASSETS:

Cash (Detail of Line 101)

Unrestricted	31,811,562
Restricted	0

Total Cash 31,811,562

Accounts Receivable (Detail of Line 103)

ADHS
GSA 2

Current Period

<u>Program ID</u>	<u>Category ID</u>	
TXIX CMDP	10/1 rate change	42,611
TXIX/XXI Child	10/1 rate change	299,977
TXIX DD Adult	10/1 rate change	26,855
CMHS SED Block Grant	Accrued Revenue	4,462
CMHS SMI Block Grant	Accrued Revenue	3,682
SAPT Block Grant	Accrued Revenue	124,185

Prior Year

GSA 3

Current Period

TXIX SMI	10/1 rate change	111,312
TXIX DD Adult	10/1 rate change	29,681
CMHS SED Block Grant	Accrued Revenue	16,900
CMHS SMI Block Grant	Accrued Revenue	2,184
SAPT Block Grant	Accrued Revenue	136,742

Prior Year

GSA 4

Current Period

TXIX CMDP	10/1 rate change	30,124
TXIX/XXI Child	10/1 rate change	10,302
TXIX SMI	10/1 rate change	16,220
TXIX DD Adult	10/1 rate change	64,925
CMHS SED Block Grant	Accrued Revenue	10,625
CMHS SMI Block Grant	Accrued Revenue	3,616
SAPT Block Grant	Accrued Revenue	131,502
NTXIX/XXI Other	Accrued Revenue	424

Prior Year

Non-ADHS &/or Unrelated Business

GSA 2

Current Period

Pharmacy Rebate Receivable	5,935
Provider Receivables	172,410

Prior Year

Pharmacy Rebate Receivable	14,116
Provider Receivables	298,363

GSA 3

Current Period

Pharmacy Rebate Receivable	5,703
Provider Receivables	3,428

Prior Year

Pharmacy Rebate Receivable	14,341
Provider Receivables	18,573

GSA 4

Current Period

Pharmacy Rebate Receivable	8,603
Provider Receivables	309,113

Prior Year

Pharmacy Rebate Receivable	22,084
Provider Receivables	541,425

GSA 2

Allowance for Doubtful Accounts

0

GSA 3

Allowance for Doubtful Accounts

0

GSA 4

Allowance for Doubtful Accounts

0

Total Accounts Receivable 2,480,648

LIABILITIES:

IBNR Claims Estimate (Detail of Line 201)

GSA 2

Current Period

605,369

Prior Year

532,887

GSA 3

Current Period

540,795

Prior Year

893,671

GSA 4

Current Period

755,364

Prior Year

662,194

Total IBNR 3,990,280

Payable to ADHS (Detail of Line 203)

Program ID Category ID

GSA 2

Current Period

TXIX SMI	10/1 rate change	72,821
TXIX GMH/SA (inclu	10/1 rate change	340,488
TXIX DD Child	10/1 rate change	42,864

Prior Year

TXIX Child	Profit/Risk Corridor	164,824
TXIX CMDP	Profit/Risk Corridor	104,994
TXIX GMH/SA	Profit/Risk Corridor	706,301

GSA 3

Current Period

TXIX CMDP	10/1 rate change	66,970
TXIX/XXI Child	10/1 rate change	372,234
TXIX GMH/SA (inclu	10/1 rate change	4,124
TXIX DD Child	10/1 rate change	28,576

Prior Year

TXIX Child	Profit/Risk Corridor	367,917
TXIX CMDP	Profit/Risk Corridor	246,483
TXIX SMI	Profit/Risk Corridor	344,678

GSA 4

Current Period

TXIX GMH/SA (inclu	10/1 rate change	33,419
TXIX DD Child	10/1 rate change	62,472

Prior Year

TXIX Child	Profit/Risk Corridor	751,740
TXIX CMDP	Profit/Risk Corridor	791,180
TXIX DD ADULT	Profit/Risk Corridor	5,158
TXIX GMH/SA	Profit/Risk Corridor	983,161

Total Payable to ADHS 5,490,404

Deferred Revenue from: (Detail of Line 208)

Program ID Category ID

GSA 2

Current Period

Prior Year(s)

GSA 3

Current Period

Prior Year

GSA 4

Current Period

Prior Year

Other Current Assets (Detail of Line 106)

Accrued Interest Income	34,231
Short-Term Deferred Taxes	2,095,241
Due from Affiliates	
Total Other Current Assets	2,129,472

Other Non-current Assets (Detail of Line 120)

Long-term Deferred Taxes	(0)
Total Other Noncurrent Assets	0

PERFORMANCE BOND (Detail of Line 117)

CBH AZ has a Surety Bond with RLI Insurance Company, in the amount of \$14,371,655

Included in Financial Statements? No

Adjustments:

Disclose and describe any adjustments made to previously submitted financial statements, including those that affect the current period financial statements.

Payables to ADHS - Other* Category

Disclose items recorded as "Other" in the category for Payable to ADHS Section

Non-ADHS &/or Unrelated Business

GSA 2
Current Period

Prior Year

GSA 3
Current Period

Prior Year

GSA 4
Current Period

Prior Year

Total Deferred Revenue **0**

Other Current Liabilities (Detail of Line 210)

Travel	6,500
Occupancy	142,983
Professional Services	56,910
Other Operating Expenses	1,452
Community Reinvestment	471,899
Use Tax Payable	0
Personal Property Tax Payable	0
Income Taxes Payable	183,301
Due to Affiliates	359,378

Total Other Current Liabilities **1,222,423**

Loss Contingencies (Detail of Line 213)

GSA 2
GSA 3
GSA 4

Total Loss Contingencies **0**

Other Noncurrent Liabilities (Detail of Line 214)

Accrued Income Tax	36,971
Tenant Improvements	42,744

Total Other Noncurrent Liabilities **79,714**

Restricted Net Assets (Detail of Line 217)

Identify Restricted Net Assets

Total Restricted Net Assets **0**

Explain ≥10% fluctuation in account from prior quarter (December 31, 2013 compared to September 30, 2013)

101 Cash- Decreased 3.7M due to income tax payments and payments to providers.

103 Accounts Receivable - Decreased \$1.1M due to the mix of CY 13 CMHS and SAPT Federal Grant revenue received in October '13 and additional TXIX and TXXI revenue accrued as a result of 10/1/13 rate changes, and CMHS and SAPT Federal Grant revenue accrued in Dec. 2013.

105 Prepaid Expenses - Increased \$94K due to additional payments made during the quarter including performance bond payment.

106 Other Current Assets - Decreased \$1M due to decrease in estimated short-term deferred tax asset related to accrued payables to providers.

201 Incurred But Not Reported Claims - Decreased \$682K due to decrease in estimated claims liability outstanding.

202 Reported But Unpaid Claims - Decreased \$118K due to the timing of claims check runs, i.e. lower claims received but unpaid at the end of December.

203 Payable to ADHS - Increased 990K due to accrued payables from 10/1/13 rate changes.

204 Other Amounts Payable to Providers -Decreased \$1.8M due to additional payments made to the providers during the quarter.

206 Accrued Salaries and Benefits - Decreased \$41K due to timing of last payroll in December, resulting in no additional accrued salary days.

210 Other Current Liabilities - Decreased 5 M due to Income Taxes paid during the quarter.

214 Other Noncurrent Liabilities - Decreased 24 K due to decrease in accrued income tax liability.

CENPATICO

STATEMENT OF CHANGES IN NET ASSETS / EQUITY

AS OF : December 31, 2013

			Net Assets / Retained Earnings	Unrealized Gains (Losses) on Securities	Total
Beginning Balance:	October 1, 2013		6,200,000	(15,000,000)	31,422,418
* Net Surplus / Net Earning for the period ended:		December 31, 2013	905,066		22,622,418
Other Comprehensive Income:					905,066
Unrealized Gains (Losses) on Securities					-
Dividends Paid				-	-
** Prior Period Adjustments					-
Ending Balance:	December 31, 2013		6,200,000	(15,000,000)	32,327,484
				-	23,527,484

* Net of Dividends Paid

** Disclosure of Prior Period Adjustments

CENPATICO 2
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

*DISCLOSE ON SCHEDULE A

		TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXIX Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
REVENUES																						
401	Revenue Under ADHS Contract																					
a	ADHS Revenue	3,468,585	948,987	85,950	2,553,946	113,812	3,398,956	135,166	288,500	85,000	0	0	38,825	34,626	325,449	0	0	0		11,477,802		11,477,802
b	ADHS Revenue - Qualifying Incentive Payments																			0		0
402	Specialty & Other Grants*														6,750			39,885		46,635		46,635
403	Client Fees (Co-pays)																			0		0
404	Third Party Recoveries																			0		0
a	Medicare																			0		0
b	Other Insurance																			0		0
405	Interest Income																			0	14,352	14,352
406	Other Behavioral Health Funding Sources - Non ADHS*	6,315			6,893		6,493													19,700		19,700
407	Unrelated Business Revenue*																			0	0	0
408	TOTAL REVENUE	3,474,900	948,987	85,950	2,560,839	113,812	3,405,449	135,166	288,500	85,000	0	0	38,825	34,626	332,199	0	0	39,885	0	11,544,137	14,352	11,558,489

EXPENSES

Service Expenses:

Treatment Services																			
a	Counseling																		
1	Counseling, Individual	214,236	49,709	2,135	36,397	1,683	165,449	0	0	0	0	1,562	10,722	2,021	0	0	0	0	483,915
2	Counseling, Family	130,384	17,923	2,377	0	259	1,739	0	0	0	0	0	0	33	0	0	0	0	161,684
3	Counseling, Group	29,728	4,280	1,351	30,266	0	145,422	0	0	0	0	0	19,653	14,081	0	0	0	0	244,781
b	Assessment/Evaluation and Screening	241,960	55,561	4,289	57,052	2,132	230,410	0	12,552	0	0	926	0	5,994	0	0	0	0	610,875
c	Other Professional	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Total Treatment Services	616,308	127,472	10,152	125,455	4,074	550,250	0	12,552	0	0	2,489	30,374	22,129	0	0	0	0	1,501,255
502 Rehabilitation Services																			
a	Living Skills Training	223,593	49,721	6,872	54,798	1,885	134,377	0	5,735	0	0	1,407	0	12,020	0	0	0	0	490,407
b	Cognitive Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Health Promotion	92,090	13,360	735	92,231	1,967	78,996	0	11,341	0	0	1,181	0	254	0	0	0	0	292,155
d	Supported Employment Services	3,145	1,589	44	55,894	2,239	168,806	0	9,971	0	0	0	0	11,740	0	0	0	0	253,428
e	Total Rehabilitation Services	318,828	64,670	7,651	202,923	6,090	382,179	0	27,046	0	0	2,588	0	24,014	0	0	0	0	1,035,990
503 Medical Services																			
a	Medication Services	196	0	0	15,217	0	89,383	0	1,698	0	0	0	0	10,443	0	0	0	0	116,937
b	Medical Management	73,924	13,225	3,549	49,434	8,769	121,592	0	11,360	0	0	0	0	1,571	0	0	0	0	283,423
c	Laboratory, Radiology & Medical Imaging	194	2	0	993	147	18,434	0	9	0	0	0	0	11,537	0	0	0	0	31,316
d	Electro-Convulsive Therapy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Total Medical Services	74,314	13,227	3,549	65,644	8,916	229,409	0	13,068	0	0	0	0	23,551	0	0	0	0	431,676
504 Support Services																			
a	Case Management	740,573	255,843	25,098	269,250	19,619	476,913	0	57,998	0	0	13,546	0	8,579	0	0	0	0	1,867,420
b	Personal Care Services	337	0	0	16,682	0	394	0	0	0	0	192	0	15,327	0	0	0	0	156,620
c	Family Support	151,427	31,998	11,408	1,409	152	161	0	0	0	0	1,641	0	134	0	0	0	0	198,330
d	Peer Support	66,792	4,998	1,637	209,276	4,027	299,265	0	50,057	0	0	6,796	0	17,187	0	0	0	0	660,035
e	Home Care Training to Home Care Client	80,522	25,238	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	105,760
f	Unskilled Respite Care	162,111	50,751	576	2,935	0	1,127	0	2,367	0	0	0	0	0	0	0	0	0	219,868
g	Supported Housing*	0	0	0	0	0	0	0	10,024	78,625	0	0	0	0	0	0	0	0	88,649
h	Flex Fund Services	0	0	0	0	0	0	0	0	0	0	7,512	697	2,842	0	0	0	0	11,052
i	Transportation	446,209	107,358	4,261	279,178	10,708	437,379	0	28,958	0	0	0	0	17,310	0	0	0	0	1,331,360
j	Total Support Services	1,647,973	476,186	42,981	778,730	34,505	1,338,533	0	149,798	0	0	29,687	697	61,379	0	0	0	0	4,639,094
505 Crisis Intervention Services																			
a	Crisis Intervention - Mobile	30,904	11,000	500	89,760	0	115,061	67,579	0	0	0	0	0	15,609	0	0	0	0	330,413
b	Crisis Intervention - Stabilization	0	0	0	0	0	2,006	790	0	0	0	0	0	0	0	0	0	0	2,796
c	Crisis Intervention - Telephone	30,215	8,973	813	95,734	4,266	92,264	56,660	0	0	0	0	0	0	0	0	0	0	288,924
d	Total Crisis Intervention Services	61,119	19,973	1,313	185,494	4,266	209,331	125,029	0	0	0	0	0	15,609	0	0	0	0	622,133
506 Inpatient Services																			
a	Hospital																		
1	Psychiatric (Provider Types 02 & 71)	104,221	0	0	27,270	0	5,789	0	0	0	0	0	0	0	0	0	0	0	137,280
2	Detoxification (Provider Types 02 & 71)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Sub acute Facility																		
1	Psychiatric (Provider Types B5 & B6)	0	0	0	258,810	0	33,090	0	0	0	0	0	0	0	0	0	0	0	291,900
2	Detoxification (Provider Types B5 & B6)	0	0	0	0	0	0	0	0	0	0	0	0	21,000	0	0	0	0	21,000
c	Residential Treatment Center (RTC)																		
1	Psychiatric - Secure & Non-Secure Provider Types (78,B1,B2,B3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Detoxification - Secure & Non-Secure (Provider Types (78,B1,B2,B3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Inpatient Services, Professional	40,318	0	0	11,014	0	10,172	0	0	0	0	0	0	0	0	0	0	0	61,504
e	Total Inpatient Services	144,539	0	0	297,094	0	49,051	0	0	0	0	0	0	21,000	0	0	0	0	511,684
507 Residential Services																			
a	Behavioral Health Residential Facilities	135,073	49,360	0	301,467	0	21,033	0	0	0	0	0	0	53,304	0	0	0	0	560,237
b	Reserved for Future Use	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Room and Board	0	0	0	0	0	0	0	0	0	0	0	987	0	0	0	0	0	987
d	Total Residential Services	135,073	49,360	0	301,467	0	21,033	0	0	0	0	0	0	54,291	0	0	0	0	561,224
508 Behavioral Health Day Program																			
a	Supervised Day Program	0	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
b	Therapeutic Day Program	0	0	0	3,374	0	6,361	0	0	0	0	0	0	0	0	0	0	0	9,734
c	Medical Day Program	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Total Behavioral Health Day Program	0	0	4	3,374	0	6,361	0	0	0	0	0	0	0	0	0	0	0	9,738
509 Prevention Services																			
a	Prevention													69,408					69,408
b	HIV													0					0
c	Total Prevention Services	0	0	0	0	0	0	0	0	0	0	0	0	69,408	0	0	0	0	69,408
510 Medication																			
a	Medication Expense	160,986	12,825	30,993	349,820	17,895	228,347		64,835										865,701
b	Less Pharmacy Rebate Received	(1,112)	(89)	(226)	(2,369)	(120)	(1,583)		(437)										(5,935)
c	Pharmacy Rebate Related Expense																		0
d	Total Medication Services	159,874	12,735	30,768	347,450	17,775	226,765	0	64,399	0	0	0	0	0	0	0	0	0	859,766
511 Other ADHS Service Expenses Not Rpt'd Above*																			
		3,699	172	140	8,826	135	3,727		0			53	0	601	0	0	0	0	17,353
513	Subtotal ADHS Service Expenses	3,161,727	763,795	96,558	2,316,458	75,761	3,016,639	125,029	266,863	78,625	0	34,817	31,071	291,982	0	0	0	0	10,259,321
520	Service Expenses from Non ADHS Sources*		0	0	0	0	0	0	0	0	0	0	0	0	0	0	39,885	0	39,885
525	Total Service Expense	3,161,727	763,795	96,558	2,316,458	75,761	3,016,639	125,029	266,863	78,625	0	34,817	31,071	291,982	0	0	39,885	0	10,299,206
																		28,539	10,327,745

CENPATICO 2
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

*DISCLOSE ON SCHEDULE A

	TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXIX Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
Administrative Expenses:																					
601 Salaries	132,295	27,182	3,279	117,918	2,573	116,622	6,810	14,534	4,282	0	0	1,182	1,055	12,642					440,374		440,374
602 Employee Benefits	16,400	3,370	406	14,618	319	14,457	902	1,925	567	0	0	147	131	1,610					54,850		54,850
603 Professional & Outside Services	7,430	1,527	184	6,623	145	6,550					0	66	59	425					23,009		23,009
604 Travel	1,097	225	27	978	21	967	1,253	2,675	788	0	0	10	9	998					9,049		9,049
605 Occupancy	9,850	2,024	244	8,779	192	8,683	1,173	2,503	738	0	0	88	79	1,438					35,790		35,790
606 Depreciation	1,970	405	49	1,756	38	1,737					0	18	16	113					6,100		6,100
607 All Other Operating*	66,292	13,621	1,643	59,088	1,289	58,438					0	592	529	3,788					205,280		205,280
608 Subtotal ADHS Administrative Expenses	235,334	48,353	5,833	209,759	4,577	207,454	10,137	21,637	6,375	0	0	2,103	1,877	21,013	0	0	0	0	774,452	0	774,452
620 Interpretive Services	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
650 Encounter Evaluation Sanction*																			0		0
651 Non ADHS and/or Unrelated Admin. Expense*														6,750			2,409		9,159		9,159
652 Subtotal Administrative Expense	235,334	48,353	5,833	209,759	4,577	207,454	10,137	21,637	6,375	0	0	2,103	1,877	27,763	0	0	2,409	0	783,611	0	783,611
701 Unrelated Business Expenses*																			0	16,246	16,246
790 Income Tax Provisions																					
a ADHS Income Tax Provision	26,099	49,933	(5,999)	10,118	12,215	63,808	-	0	-	-	-	695	612	4,544	-	-	-	-	162,025	(10,414)	151,611
b Non ADHS Income Tax Provision	2,304	-	-	2,515	-	2,369	-	-	-	-	-	-	-	-	-	-	(879)	-	6,309	(691)	5,618
799 Subtotal Income Tax Provision	28,404	49,933	(5,999)	12,633	12,215	66,177	0	0	0	0	0	695	612	4,544	0	0	(879)	0	168,334	(11,105)	157,229
800 TOTAL EXPENSES	3,425,465	862,081	96,392	2,538,850	92,553	3,290,270	135,166	288,500	85,000	0	0	37,615	33,560	324,289	0	0	41,415	0	11,251,151	33,680	11,284,831
801 INC/(DEC) IN NET ASSETS/EQUITY	49,435	86,906	(10,442)	21,989	21,259	115,179	0	0	0	0	0	1,210	1,066	7,910	0	0	(1,530)	0	292,986	(19,328)	273,658

*Disclose on Schedule A

CENPATICO 3
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

*DISCLOSE ON SCHEDULE A

		TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXXI Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
REVENUES																						
401	Revenue Under ADHS Contract																					
a	ADHS Revenue	2,203,464	1,645,015	46,449	3,298,327	139,322	2,242,538	136,411	441,104	80,000		0	70,189	65,115	323,916	0	0	0		10,691,849		10,691,849
b	ADHS Revenue - Qualifying Incentive Payments																			0	0	0
402	Specialty & Other Grants*														6,750			4,835		11,585		11,585
403	Client Fees (Co-pays)																			0		0
404	Third Party Recoveries																			0		0
a	Medicare																			0		0
b	Other Insurance																			0		0
405	Interest Income																			0	13,320	13,320
406	Other Behavioral Health Funding Sources - Non ADHS*	3,500			3,563		3,288				0	0	70,189	65,115	330,666	0	0	4,835	0	10,713,784	13,320	10,727,104
407	Unrelated Business Revenue*																			0		0
408	TOTAL REVENUE	2,206,964	1,645,015	46,449	3,301,889	139,322	2,245,825	136,411	441,104	80,000	0	0	70,189	65,115	330,666	0	0	4,835	0	10,713,784	13,320	10,727,104

EXPENSES

Service Expenses:

[illegible]

CENPATICO 3
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

*DISCLOSE ON SCHEDULE A

	TXIX/XXI CHILD	TXIX CMPD	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXIX Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
Administrative Expenses:																					
601 Salaries	94,373	45,904	1,937	136,623	4,204	80,765	6,872	22,223	4,030	0	0	2,108	1,955	12,833	0	0	0	0	413,826		413,826
602 Employee Benefits	11,699	5,690	240	16,936	521	10,012	910	2,943	534	0	0	261	242	1,639	0	0	0	0	51,628		51,628
603 Professional & Outside Services	5,300	2,578	108	7,673	236	4,536				0	0	118	110	389	0	0	0	0	21,060		21,060
604 Travel	582	381	16	1,133	35	670	1,265	4,090	742	0	0	17	16	1,133	0	0	0	0	10,259		10,259
605 Occupancy	7,026	3,418	144	10,172	313	6,013	1,184	3,827	694	0	0	157	146	1,515	0	0	0	0	34,610		34,610
606 Depreciation	1,405	684	29	2,034	63	1,203				0	0	31	29	106	0	0	0	0	5,584		5,584
607 All Other Operating*	47,289	23,002	970	68,461	2,107	40,470				0	0	1,056	980	3,561	0	0	0	0	187,896		187,896
608 Subtotal ADHS Administrative Expenses	167,876	81,657	3,445	243,032	7,478	143,668	10,231	33,083	6,000	0	0	3,749	3,478	21,167	0	0	0	0	724,863	0	724,863
620 Interpretive Services	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
650 Encounter Evaluation Sanction*																			0		0
651 Non ADHS and/or Unrelated Admin. Expense*														6,750			288		7,038		7,038
652 Subtotal Administrative Expense	167,876	81,657	3,445	243,032	7,478	143,668	10,231	33,083	6,000	0	0	3,749	3,478	27,917	0	0	288	0	731,901	0	731,901
701 Unrelated Business Expenses*														0					0	15,134	15,134
790 Income Tax Provisions																					
a ADHS Income Tax Provision	(23,295)	83,295	(5,413)	39,234	2,296	34,968	-	(0)	-	-	-	1,273	1,186	4,495	-	-	-	-	138,040	(9,855)	128,185
b Non ADHS Income Tax Provision	1,277	-	-	1,300	-	1,200	-	-	-	-	-	-	-	-	-	-	(105)	-	3,672	(662)	3,010
799 Subtotal Income Tax Provision	(22,018)	83,295	(5,413)	40,534	2,296	36,168	0	0	0	0	0	1,273	1,186	4,495	0	0	(105)	0	141,712	(10,517)	131,195
800 TOTAL EXPENSES	2,245,285	1,500,041	55,870	3,231,341	135,325	2,182,876	136,411	441,104	80,000	0	0	67,972	63,052	322,842	0	0	5,018	0	10,467,139	31,624	10,498,763
801 INC/(DEC) IN NET ASSETS/EQUITY	(38,321)	144,974	(9,421)	70,548	3,997	62,949	0	0	0	0	0	2,217	2,063	7,824	0	0	(183)	0	246,645	(18,304)	228,341

CENPATICO 4
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

*DISCLOSE ON SCHEDULE A

		TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXXI Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
Administrative Expenses:																						
601	Salaries	177,502	40,942	6,773	165,950	9,461	150,820	12,050	29,810	3,023	0	21	1,707	1,851	15,261	0	0	0	0	615,171		615,171
602	Employee Benefits	22,004	5,075	840	20,572	1,173	18,696	1,596	3,947	400	0	2	212	230	1,953	0	0	0	0	76,698		76,698
603	Professional & Outside Services	9,969	2,299	380	9,320	531	8,471					1	96	104	458	0	0	0	0	31,630		31,630
604	Travel	1,472	339	56	1,376	78	1,250	2,218	5,486	556	0	1	14	15	1,378	0	0	0	0	14,240		14,240
605	Occupancy	13,216	3,048	504	12,355	704	11,229	2,075	5,134	521	0	1	127	138	1,833	0	0	0	0	50,886		50,886
606	Depreciation	2,643	610	101	2,471	141	2,246					0	25	28	115	0	0	0	0	8,380		8,380
607	All Other Operating*	88,945	20,516	3,394	83,156	4,741	75,574					7	855	928	4,083	0	0	0	0	282,198		282,198
608	Subtotal ADHS Administrative Expenses	315,750	72,830	12,048	295,200	16,830	268,286	17,939	44,378	4,500	0	31	3,036	3,293	25,082	0	0	0	0	1,079,203	0	1,079,203
620	Interpretive Services	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
650	Encounter Evaluation Sanction*																			0		0
651	Non ADHS and/or Unrelated Admin. Expense*														9,000		661	2,778		12,440	134,470	146,910
652	Subtotal Administrative Expense	315,750	72,830	12,048	295,200	16,830	268,286	17,939	44,378	4,500	0	31	3,036	3,293	34,082	0	661	2,778	0	1,091,643	134,470	1,226,113
701	Unrelated Business Expenses*																			0	22,620	22,620
790	Income Tax Provisions																					
a	ADHS Income Tax Provision	(63,860)	151,911	4,835	9,417	20,909	95,933	-	(0)	-	-	0	1,052	1,150	5,226	-	-	109	-	226,681	(14,884)	211,797
b	Non ADHS Income Tax Provision	4,878	-	-	3,623	-	3,669	-	-	-	-	-	-	-	-	-	291	(1,014)	-	11,446	8,339	19,785
799	Subtotal Income Tax Provision	(58,982)	151,911	4,835	13,040	20,909	99,601	0	0	0	0	0	1,052	1,150	5,226	0	291	(904)	0	238,127	(6,545)	231,582
800	TOTAL EXPENSES	4,474,947	1,403,556	221,294	3,523,090	323,278	4,271,809	239,190	591,704	60,000	0	424	55,619	60,320	382,463	0	12,172	49,012	0	15,668,873	191,334	15,860,207
801	INC/(DEC) IN NET ASSETS/EQUITY	(102,658)	264,397	8,414	22,696	36,392	173,354	0	(1)	0	0	0	1,830	2,002	9,095	0	506	(1,574)	0	414,458	(11,391)	403,067

*Disclose on Schedule A

	TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXXI Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT GRANT	BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
DISCLOSURE OF NTXIX/XXI OTHER AND OTHER FEDERAL ADHS REVENUE Itemization of Items Reported In Other Column CMHS Coaching & Training																				0		0
																				0		0
																				0		0
Total - NTXIX/XXI OTHER AND OTHER FEDERAL Column											0									0		0
DISCLOSURE OF OTHER GRANTS REPORTED ON LINE 402 Itemization of Items Reported on Line 402																						
SAPT Prevention - assistant Bridge Subsidy Program															6,750					6,750		6,750
Total Other Grants	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,750	0	0	39,885	0	39,885	0	46,635
DISCLOSURE OF OTHER BEHAVIORAL HEALTH SOURCES - NON ADHS REPORTED ON LINE 406 Itemization of Items Reported on Line 406																						
Sanctions Imposed by Cenpatico to provider	6,315			6,893		6,493					0				0					19,700	0	19,700
Total Other Behavioral Health Sources - Non ADHS	6,315	0	0	6,893	0	6,493		0	0	0	0	0	0	0	0	0	0	0	0	19,700	0	19,700
UNRELATED BUSINESS REVENUE REPORTED ON LINE 407 Itemization of Items Reported on Line 407 Payments for copies of records																				0	0	0
Total Unrelated Business Revenue	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DISCLOSURE OF SUPPORTED HOUSING ON LINE 504g (Choose a category)																						
Rent Subsidy								10,024	78,625											88,649	0	88,649
Property Improvements										0										0	0	0
																				0	0	0
																				0	0	0
																				0	0	0
Total Supported Housing	0	0	0	0	0	0		10,024	78,625	0	0	0	0	0	0	0	0	0	0	88,649	0	88,649
DISCLOSURE OF ALL OTHER BEHAVIORAL HEALTH SERVICES ON LINE 511 Itemization of Items Reported on Line 511 Block Provider qualifying Incentive Bonus Expense Linkages - Job Development Services Passport Fees	3,699	172	140	7,125 1,701	135	3,727	0	0	0	0	0	53	0	601		0	0	0	0	0	28,539	28,539
CMHS Coaching & Training																	0			0	0	0
Caring Voices cell phone program																				0	0	0
PASRR Screening Services																		0		0	0	0
Total All Other Behavioral Health Services	3,699	172	140	8,826	135	3,727		0	0	0	0	53	0	601	0	0	0	0	0	17,353	28,539	45,892
DISCLOSURE OF SERVICES EXPENSES FROM NON ADHS SOURCES ON LINE 520 Itemization of Items Reported on Line 520																						
Bridge Subsidy Program																		39,885		39,885		39,885
Total Service Expenses Non-ADHS Sources	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	39,885	0	39,885	0	39,885

	TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXXI Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT GRANT	BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
DISCLOSURE OF ALL OTHER OPERATING ON LINE 607																						
Itemization of Items Reported on Line 607																						
Moving & Storage	0	0	0	0	0	0						0	0	0						0		0
Meeting Expense	803	165	20	716	16	708						7	6	46						2,486		2,486
Seminars	2,258	464	56	2,012	44	1,990						20	18	129						6,992		6,992
Postage	29	6	1	26	1	25						0	0	2						90		90
Messenger	116	24	3	103	2	102						1	1	7						358		358
Bank Charges	14	3	0	12	0	12						0	0	1						43		43
EO/PEOB Processing Fees	59	12	1	53	1	52						1	0	3						183		183
Penalties	0	0	0	0	0	0						0	0	0						0		0
Other Taxes	0	0	0	0	0	0						0	0	0						1		1
Dues/subscripts/publications	634	130	16	566	12	559						6	5	36						1,965		1,965
Printing	52	11	1	46	1	46						0	0	3						161		161
MDC Printing/Postage Allocation	2,228	458	55	1,986	43	1,964						20	18	127						6,899		6,899
Office Supplies	1,127	232	28	1,005	22	994						10	9	64						3,491		3,491
Business Gifts	0	0	0	0	0	0						0	0	0						0		0
Member Service Fees	0	0	0	0	0	0						0	0	0						0		0
Translation Services	87	18	2	77	2	77						1	1	5						269		269
CPT Books/Med Dict/Phys Cred	0	0	0	0	0	0						0	0	0						0		0
Outreach Expense	269	55	7	239	5	237						2	2	15						832		832
Marketing give aways	70	14	2	62	1	62						1	1	4						217		217
Sponsorships	1,139	234	28	1,015	22	1,004						10	9	65						3,527		3,527
Equipment Maintenance	532	109	13	474	10	469						5	4	30						1,647		1,647
Equipment Lease	206	42	5	184	4	182						2	2	12						638		638
Misc Equipment Expense	32	7	1	29	1	28						0	0	2						99		99
Insurance Expense	2,462	506	61	2,195	48	2,171						22	20	141						7,625		7,625
Other Operating Expense	(88)	(18)	(2)	(79)	(2)	(78)							(1)	(5)						(273)		(273)
Information System Expense	2,306	474	57	2,056	45	2,033						21	18	132						7,141		7,141
Corporate Allocations	51,957	10,675	1,288	46,311	1,010	45,802						464	414	2,969						160,891		160,891
Recouped Sanctions	0	0	0	0	0	0						0	0	0						0		0
Total All Other Operating	66,292	13,621	1,643	59,098	1,289	58,438		0	0	0	0	592	529	3,788		0	0	0	0	205,280	0	205,280

DISCLOSURE OF ENCOUNTER EVALUATION SANCTIONS
ON LINE 650

Itemization of Items Reported on Line 650

Total Encounter Evaluation Sanctions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
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DISCLOSURE OF NON ADHS AND/OR UNRELATED
ADMINISTRATIVE EXPENSES ON LINE 651

Itemization of Items Reported on Line 651

																				0		0
																				0		0
																				0		0
																				0		0
SAPT Prevention - assistant														6,750						6,750		6,750
Bridge Subsidy Program																		2,409		2,409		2,409
Total Unrelated Administrative Expenses	0	0	0	0	0	0		0	0	0	0	0	0	6,750		0	0	2,409	0	9,159	0	9,159

DISCLOSURE OF UNRELATED BUSINESS EXPENSES LINE
701

Itemization of Items Reported on Line 701																				0	16,246	16,246
Community Reinvestment																				0		0
Total Unrelated Business Expenses	0	0	0	0	0	0		0	0	0	0	0	0	0		0	0	0	0	0	16,246	16,246

Disclose Service Expense Allocation Methodologies by Program for current reporting package. Include the encounter timeframe used to allocate expenses:

Service expenses paid via block payment methodology are allocated to the fund types (TXIX Child, TXIX SMI, TXIX GMH/SA, etc.) based on the year-to-date block payments. Within a fund type, the allocation by service line is based on the year-to-date encountered claims data.

Service expenses paid via fee-for-service methodology (FFS) include both paid claims and estimated expenses (IBNR) and are allocated as follows:

1. Inpatient and out of home services are allocated to the applicable service line based on the authorized days for that inpatient or out of home service and then allocated to the fund types based on year-to-date paid claims data. These service expenses also include any adjustments for prior year IBNR changes.

2. Other FFS estimated expenses (including both paid claims and IBNR) are allocated to the fund types and then service lines based on year-to-date claims paid.

Pharmacy expenses are booked to the fund types based on actual pharmacy expenses paid year-to-date. Rebates and audit recoveries are allocated based on pharmacy expenses.

Non-encounterable services (SAPT Prevention, SAPT HIV, Bridge Subsidy Housing grant) are directly allocated based on actual and estimated expenses incurred year to date.

We used current year encounter data to allocate the service expenses.

Adjustments:
(Disclose and describe any adjustments to previously submitted financial statements including those that affect the current financial statements.)

Notes:		
SAPT Children's Expenses SF2014 YTD	\$	20,602.50
MAT Expenses SF2014 YTD	\$	9,501.39

	TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXXI Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT GRANT	BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
DISCLOSURE OF NTXIX/XXI OTHER AND OTHER FEDERAL ADHS REVENUE Itemization of Items Reported In Other Column																						
																				0		0
																				0		0
																				0		0
Total - NTXIX/XXI OTHER AND OTHER FEDERAL Column											0									0		0
DISCLOSURE OF OTHER GRANTS REPORTED ON LINE 402 Itemization of Items Reported on Line 402																						
															6,750					6,750		6,750
SAPT Prevention - assistant Bridge Subsidy Program																		4,835		4,835		4,835
Total Other Grants	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6,750	0	0	4,835	0	11,585	0	11,585
DISCLOSURE OF OTHER BEHAVIORAL HEALTH SOURCES - NON ADHS REPORTED ON LINE 406 Itemization of Items Reported on Line 406																						
	3,500			3,563		3,288					0									10,350		10,350
Sanctions Imposed by Cenpatico to provider																				0		0
Total Other Behavioral Health Sources - Non ADHS	3,500	0	0	3,563	0	3,288		0	0	0	0	0	0	0	0	0	0	0	0	10,350	0	10,350
UNRELATED BUSINESS REVENUE REPORTED ON LINE 407 Itemization of Items Reported on Line 407																						
																				0		0
																				0		0
Total Unrelated Business Revenue	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DISCLOSURE OF SUPPORTED HOUSING ON LINE 504g (Choose a category)																						
								14,855	74,000		0									88,855	0	88,855
Rent Subsidy																				0		0
Property Improvements										0										0		0
																				0		0
																				0		0
																				0		0
																				0		0
Total Supported Housing	0	0	0	0	0	0		14,855	74,000	0	0	0	0	0	0	0	0	0	0	88,855	0	88,855
DISCLOSURE OF ALL OTHER BEHAVIORAL HEALTH SERVICES ON LINE 511 Itemization of Items Reported on Line 511																						
				7,125																0	27,007	27,007
Block Provider qualifying Incentive Bonus Expense																				7,125		7,125
Linkages - Job Development Services				1,771	262	4,451		0	0	0	0	101	0	433	0	0	0	0	0	11,642		11,642
Passport Fees	4,300	213	112																	0		0
																				0		0
CMHS Coaching & Training																				0		0
																				0		0
Caring Voices cell phone program																				0		0
PASRR Screening Services																				0		0
Total All Other Behavioral Health Services	4,300	213	112	8,896	262	4,451		0	0	0	0	101	0	433	0	0	0	0	0	18,767	27,007	45,773
DISCLOSURE OF SERVICES EXPENSES FROM NON ADHS SOURCES ON LINE 520 Itemization of Items Reported on Line 520																						
																				0		0
																		4,835		4,835		4,835
Bridge Subsidy Program																		4,835		4,835		4,835
Total Service Expenses Non-ADHS Sources	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	4,835	0	4,835	0	4,835

CENPATICO 3
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:
Schedule A Disclosure

December 31, 2013

	TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXXI Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT GRANT	BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
DISCLOSURE OF ALL OTHER OPERATING ON LINE 607																						
Itemization of Items Reported on Line 607																						
Moving & Storage	0	0	0	0	0	0			0	0	0	0	0	0	0					0		0
Meeting Expense	573	279	12	829	26	490			0	0	0	13	12	43						2,276		2,276
Seminars	1,611	783	33	2,332	72	1,378			0	0	0	36	33	121						6,400		6,400
Postage	21	10	0	30	1	18			0	0	0	0	0	2						82		82
Messenger	83	40	2	119	4	71			0	0	0	2	2	6						328		328
Bank Charges	10	5	0	14	0	8			0	0	0	0	0	1						39		39
EOP/EOB Processing Fees	42	20	1	61	2	36			0	0	0	1	1	3						167		167
Penalties	0	0	0	0	0	0			0	0	0	0	0	0						0		0
Other Taxes	0	0	0	0	0	0			0	0	0	0	0	0						1		1
Dues/subscripts/publications	453	220	9	655	20	387			0	0	0	10	9	34						1,798		1,798
Printing	37	18	1	54	2	32			0	0	0	1	1	3						147		147
MDC Printing/Postage Allocation	1,589	773	33	2,301	71	1,360			0	0	0	35	33	120						6,314		6,314
Office Supplies	804	391	17	1,164	36	688			0	0	0	18	17	61						3,195		3,195
Business Gifts	0	0	0	0	0	0			0	0	0	0	0	0						0		0
Member Service Fees	0	0	0	0	0	0			0	0	0	0	0	0						0		0
Translation Services	62	30	1	90	3	53			0	0	0	1	1	5						246		246
CPT Books/Med Dict/Phys Cred	0	0	0	0	0	0			0	0	0	0	0	0						0		0
Outreach Expense	192	93	4	277	9	164			0	0	0	4	4	14						761		761
Marketing give aways	50	24	1	72	2	43			0	0	0	1	1	4						199		199
Sponsorships	813	395	17	1,176	36	695			0	0	0	18	17	61						3,229		3,229
Equipment Maintenance	379	185	8	549	17	325			0	0	0	8	8	29						1,507		1,507
Equipment Lease	147	71	3	213	7	126			0	0	0	3	3	11						584		584
Med Equipment Expense	23	11	0	33	1	20			0	0	0	1	0	2						91		91
Insurance Expense	1,757	854	36	2,543	78	1,503			0	0	0	39	36	132						6,979		6,979
Other Operating Expense	(63)	(31)	(1)	(91)	(3)	(54)			0	0	0	(1)	(1)	(5)						(250)		(250)
Information System Expense	1,645	800	34	2,382	73	1,408			0	0	0	37	34	124						6,537		6,537
Corporate Allocations	37,064	18,028	761	53,657	1,651	31,719			0	0	0	828	768	2,791						147,266		147,266
Recouped Sanctions	0					0			0	0	0	0	0	0						0		0
Total All Other Operating	47,289	23,002	970	68,461	2,107	40,470		0	0	0	0	1,056	980	3,561		0	0	0	0	187,896	0	187,896

(0)

DISCLOSURE OF ENCOUNTER EVALUATION SANCTIONS
ON LINE 650
Itemization of Items Reported on Line 650

Total Encounter Evaluation Sanctions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
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DISCLOSURE OF NON ADHS AND/OR UNRELATED
ADMINISTRATIVE EXPENSES ON LINE 651
Itemization of Items Reported on Line 651

																				0		0
																				0		0
																				0		0
																				0		0
																				0		0
SAPT Prevention - assistant														6,750						6,750		6,750
Bridge Subsidy Program																		288		288		288
Total Unrelated Administrative Expenses	0	0	0	0	0	0	0	0	0	0	0	0	0	6,750	0	0	288	0	7,038	0	7,038	

(0)

DISCLOSURE OF UNRELATED BUSINESS EXPENSES LINE
701

Itemization of Items Reported on Line 701
Community Reinvestment

																				0	15,134	15,134
																				0		0
Total Unrelated Business Expenses	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0	15,134	15,134

(0)

Disclose Service Expense Allocation Methodologies by Program for current reporting package. Include the encounter timeframe used to allocate expenses:
Service expenses paid via block payment methodology are allocated to the fund types (TXIX Child, TXIX SMI, TXIX GMH/SA, etc.) based on the year-to-date block payments. Within a fund type, the allocation by service line is based on the year-to-date encountered claims data.
Service expenses paid via fee-for-service methodology (FFS) include both paid claims and estimated expenses (IBNR) and are allocated as follows:
1. Inpatient and out of home services are allocated to the applicable service line based on the authorized days for that inpatient or out of home service and then allocated to the fund types based on year-to-date paid claims data. These service expenses also include any adjustments for prior year IBNR changes.
2. Other FFS estimated expenses (including both paid claims and IBNR) are allocated to the fund types and then service lines based on year-to-date claims paid.
Pharmacy expenses are booked to the fund types based on actual pharmacy expenses paid year-to- date. Rebates and audit recoveries are allocated based on pharmacy expenses.
Non-encounterable services (SAPT Prevention, SAPT HIV, Bridge Subsidy Housing grant) are directly allocated based on actual and estimated expenses incurred year to date.
We used current year encounter data to allocate the service expenses.

Adjustments:
(Disclose and describe any adjustments to previously submitted financial statements including those that affect the current financial statements.)

Notes:
SAPT Children's Expenses SF2014 YTD \$ 16,513.53
MAT Expenses SF2014 YTD \$ 2,803.97

CENPATICO 4
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:
Schedule A Disclosure

December 31, 2013

	TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXXI Adult)	TXXI CHILD	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
DISCLOSURE OF NTXIX/XXI OTHER AND OTHER FEDERAL ADHS REVENUE																					
Itemization of Items Reported In Other Column																					
Mental Health First Aid											424								424		424
SPE Grant																			0		0
Arizona and/or Trauma Informed Care Dialogues																					
Total - NTXIX/XXI OTHER AND OTHER FEDERAL Column											424				0				424		424
DISCLOSURE OF OTHER GRANTS REPORTED ON LINE 402																					
Itemization of Items Reported on Line 402																					
Pinal/Gila County COE																12,678			12,678		12,678
SAPT Prevention - assistant														9,000					9,000		9,000
Bridge Subsidy Program																	47,138		47,138		47,138
Total Other Grants	0	0	0	0	0	0	0	0	0	0	0	0	0	9,000	0	12,678	47,138	0	68,815	0	68,815
DISCLOSURE OF OTHER BEHAVIORAL HEALTH SOURCES - NON ADHS REPORTED ON LINE 406																					
Itemization of Items Reported on Line 406																					
Bridgeway Behavioral Health Services																				159,948	159,948
Sanctions Imposed by Cenpatco to provider	13,367			9,929		10,054					0			0					33,350	0	33,350
Total Other Behavioral Health Sources - Non ADHS	13,367	0	0	9,929	0	10,054	0	0	0	0	0	0	0	0	0	0	0	0	0	159,948	193,298
UNRELATED BUSINESS REVENUE REPORTED ON LINE 407																					
Itemization of Items Reported on Line 407																					
Payments for copies of records																			0	0	0
Total Unrelated Business Revenue	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DISCLOSURE OF SUPPORTED HOUSING ON LINE 504g (Choose a category)																					
Rent Subsidy								22,426	55,500										77,926	0	77,926
Property Improvements										0									0		0
																			0		0
																			0		0
																			0		0
Total Supported Housing	0	0	0	0	0	0	0	22,426	55,500	0	0	0	0	0	0	0	0	0	77,926	0	77,926
DISCLOSURE OF ALL OTHER BEHAVIORAL HEALTH SERVICES ON LINE 511																					
Itemization of Items Reported on Line 511																					
Block Provider qualifying Incentive Bonus Expense				9,500															0	40,789	40,789
Linkages - Job Development Services																			9,500		9,500
Passport Fees	6,875	475	235	2,462	416	5,916		0	0	0	0	113	0	746	0	0	0	0	17,239		17,239
Mental Health First Aid										393									393		393
SPE Grant																			0		0
CMHS Coaching & Training																0			0		0
Arizona and/or Trauma Informed Care Dialogues																0			0		0
Caring Voices cell phone program																			0		0
PASRR Screening Services																	0		0		0
Total All Other Behavioral Health Services	6,875	475	235	11,962	416	5,916	0	0	0	0	393	113	0	746	0	0	0	0	27,131	40,789	67,920

CENPATICO 4
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:
Schedule A Disclosure

December 31, 2013

	TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA (Includes TXXI Adult)	TXIX CHILD	NTXIX/XXI SMI	SUPPORTED HOUSING FOR TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	MGMT/GEN	TOTAL
DISCLOSURE OF ALL OTHER OPERATING ON LINE 607																					
Itemization of Items Reported on Line 607																					
Moving & Storage	0	0	0	0	0	0				0	0	0	0	0					0		0
Meeting Expense	1,077	248	41	1,007	57	915				0	10	11	49						3,418		3,418
Seminars	3,029	699	116	2,832	161	2,574				0	29	32	139						9,611		9,611
Postage	39	9	1	36	2	33				0	0	0	2						123		123
Messenger	155	36	6	145	8	132				0	1	2	7						493		493
Bank Charges	19	4	1	17	1	16				0	0	0	1						59		59
EOP/EOB Processing Fees	79	18	3	74	4	67				0	1	1	4						251		251
Penalties	0	0	0	0	0	0				0	0	0	0						0		0
Other Taxes	0	0	0	0	0	0				0	0	0	0						1		1
Dues/subscrips/publications	851	196	32	796	45	723				0	8	9	39						2,701		2,701
Printing	70	16	3	65	4	59				0	1	1	3						221		221
MDC Printing/Postage Allocation	2,989	689	114	2,795	159	2,540				0	29	31	137						9,484		9,484
Office Supplies	1,512	349	58	1,414	81	1,285				0	15	16	69						4,799		4,799
Business Gifts	0	0	0	0	0	0				0	0	0	0						0		0
Member Service Fees	0	0	0	0	0	0				0	0	0	0						0		0
Translation Services	117	27	4	109	6	99				0	1	1	5						370		370
CPT Books/Med Dict/Phys Cred	0	0	0	0	0	0				0	0	0	0						0		0
Outreach Expense	360	83	14	337	19	306				0	3	4	17						1,144		1,144
Marketing giveaways	94	22	4	88	5	80				0	1	1	4						298		298
Sponsorships	1,528	353	58	1,429	81	1,299				0	15	16	70						4,849		4,849
Equipment Maintenance	713	165	27	606	38	567				0	7	7	33						2,264		2,264
Equipment Lease	276	64	11	258	15	235				0	3	3	13						877		877
Misc Equipment Expense	43	10	2	40	2	37				0	0	0	2						136		136
Insurance Expense	3,304	762	126	3,089	176	2,807				0	32	34	152						10,482		10,482
Other Operating Expense	(118)	(27)	(5)	(111)	(6)	(101)				(0)	(1)	(1)	(5)						(376)		(376)
Information System Expense	3,094	714	118	2,893	165	2,629				0	30	32	142						9,817		9,817
Corporate Allocations	69,711	16,079	2,660	65,174	3,716	59,232				5	670	727		3,200					221,177		221,177
Recouped Sanctions														0					0		0
Total All Other Operating	88,945	20,516	3,394	83,156	4,741	75,574	0	0	0	0	7	855	928	4,083	0	0	0	0	282,198	0	282,198

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DISCLOSURE OF ENCOUNTER EVALUATION SANCTIONS
ON LINE 650
Itemization of Items Reported on Line 650

[illegible]

DISCLOSURE OF NON ADHS AND/OR UNRELATED ADMINISTRATIVE EXPENSES ON LINE 651
Itemization of Items Reported on Line 651

Bridgeway Behavioral Health Administrative Expenses
Pinal/Gila County COE

[illegible]

DISCLOSURE OF UNRELATED BUSINESS EXPENSES LINE
701

Itemization of Items Reported on Line 701 Community Reinvestment

[illegible]

Disclose Service Expense Allocation Methodologies by Program for current reporting package. Include the encounter timeframe used to allocate expenses:

Service expenses paid via block payment methodology are allocated to the fund types (TXIX Child, TXIX SMI, TXIX GMH/SA, etc.) based on the year-to-date block payments. Within a fund type, the allocation by service line is based on the year-to-date encountered claims data.

Service expenses paid via fee-for-service methodology (FFS) include both paid claims and estimated expenses (IBNR) and are allocated as follows:

1. Inpatient and out of home services are allocated to the applicable service line based on the authorized days for that inpatient or out of home service and then allocated to the fund types based on year-to-date paid claims data. These service expenses also include any adjustments for prior year IBNR changes.
2. Other FFS estimated expenses (including both paid claims and IBNR) are allocated to the fund types and then service lines based on year-to-date claims paid.

Pharmacy expenses are booked to the fund types based on actual pharmacy expenses paid year-to- date. Rebates and audit recoveries are allocated based on pharmacy expenses.

Non-encounterable services (SAPT Prevention, SAPT HIV, Bridge Subsidy Housing grant) are directly allocated based on actual and estimated expenses incurred year to date

We used current year encounter data to allocate the service expenses.

Adjustments:

(Disclose and describe any adjustments to previously submitted financial statements including those that affect the current financial statements.)

Notes:		
SAPT Children's Expenses SF2014 YTD	\$	43,631.60
MAT Expenses SF2014 YTD	\$	7,393.05

CENPATICO 2
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

				State Fiscal Year-to-date
		July 1, 2013- September 30, 2013	October 1, 2013 - June 30, 2014	July 1, 2013 - June 30, 2014
		NTXIX/XXI SMI	NTXIX/XXI SMI	NTXIX/XXI SMI
REVENUE				
401	Revenue Under ADHS Contract			
a	ADHS Revenue	288,500	288,500	577,001
b	ADHS Revenue - Qualifying Incentive Payments	-	-	-
402	Specialty & Other Grants	-	-	-
403	Client Fees (Co-pays)	-	-	-
404	Third Party Recoveries	-	-	-
a	Medicare	-	-	-
b	Other Insurance	-	-	-
405	Interest Income	-	-	-
406	Other Behavioral Health Funding Sources - Non ADHS	-	-	-
407	Unrelated Business Revenue	-	-	-
408	TOTAL REVENUE	<u>288,500</u>	<u>288,500</u>	<u>577,001</u>
EXPENSES				
Service Expenses:				
501	Treatment Services			
a	Counseling			
1	Counseling, Individual	-	-	-
2	Counseling, Family	-	-	-
3	Counseling, Group	-	-	-
b	Assessment, Evaluation and Screening	(1,912)	12,552	10,640
c	Other Professional	-	-	-
d	Total Treatment Services	<u>(1,912)</u>	<u>12,552</u>	<u>10,640</u>
502	Rehabilitation Services			
a	Living Skills Training	5,029	5,735	10,764
b	Cognitive Rehabilitation	-	-	-
c	Health Promotion	(3,186)	11,341	8,155
d	Supported Employment Services	(3,130)	9,971	6,840
e	Total Rehabilitation Services	<u>(1,287)</u>	<u>27,046</u>	<u>25,759</u>
503	Medical Services			
a	Medication Services	1,798	1,698	3,495
b	Medical Management	16,977	11,360	28,338
c	Laboratory, Radiology & Medical Imaging	11	9	20
d	Electro-Convulsive Therapy	-	-	-
e	Total Medical Services	<u>18,785</u>	<u>13,068</u>	<u>31,853</u>
504	Support Services			
a	Case Management	68,853	57,998	126,851
b	Personal Care Services	13,524	394	13,918
c	Family Support	-	-	-
d	Peer Support	76,781	50,057	126,839
e	Home Care Training to Home Care Client	-	-	-
f	Unskilled Respite Care	-	2,367	2,367
g	Supported Housing	10,098	10,024	20,122
h	Flex Fund Services	-	-	-
i	Transportation	30,984	28,958	59,942
j	Total Support Services	<u>200,241</u>	<u>149,798</u>	<u>350,039</u>
505	Crisis Intervention Services			
a	Crisis Intervention - Mobile	-	-	-
b	Crisis Intervention - Stabilization	-	-	-
c	Crisis Intervention - Telephone	-	-	-
d	Total Crisis Intervention Services	<u>-</u>	<u>-</u>	<u>-</u>
506	Inpatient Services			
a	Hospital			
1	Psychiatric (Provider Types 02 & 71)	-	-	-
2	Detoxification (Provider Types 02 & 71)	-	-	-
b	Sub acute Facility	-	-	-
1	Psychiatric (Provider Types B5 & B6)	-	-	-
2	Detoxification (Provider Types B5 & B6)	-	-	-
c	Residential Treatment Center (RTC)	-	-	-
	Psychiatric - Secure & Non-Secure	-	-	-
1	Provider Types 78,B1,B2,B3)	-	-	-
2	(Provider Types (78,B1,B2,B3)	-	-	-
d	Inpatient Services, Professional	-	-	-
e	Total Inpatient Services	<u>-</u>	<u>-</u>	<u>-</u>
507	Residential Services			
a	Behavioral Health Residential Facilities	-	-	-
b	Reserved for Future Use	-	-	-
c	Room and Board	-	-	-
d	Total Residential Services	<u>-</u>	<u>-</u>	<u>-</u>

508	Behavioral Health Day Program			
a	Supervised Day Program	-	-	-
b	Therapeutic Day Program	-	-	-
c	Medical Day Program	-	-	-
d	Total Behavioral Health Day Program	-	-	-
509	Prevention Services			
a	Prevention	-	-	-
b	HIV	-	-	-
c	Total Prevention Services	-	-	-
510	Medication			
a	Medication Expense	51,499	64,835	116,334
b	Less Pharmacy Rebate Received	(464)	(437)	(900)
c	Pharmacy Rebate Related Expense	-	-	-
d	Total Medication Services	51,035	64,399	115,434
511	Other ADHS Service Expenses Not Rpt'd Above	-	-	-
513	Subtotal ADHS Service Expenses	266,863	266,863	533,725
520	Service Expenses from Non ADHS Sources	-	-	-
525	Total Service Expense	266,863	266,863	533,725
		-	-	-
	Administrative Expenses:			
601	Salaries	14,535	14,534	29,068
602	Employee Benefits	1,925	1,925	3,849
603	Professional & Outside Services	-	-	-
604	Travel	2,675	2,675	5,350
605	Occupancy	2,503	2,503	5,007
606	Depreciation	-	-	-
607	All Other Operating*	-	-	-
608	Subtotal ADHS Administrative Expenses	21,638	21,637	43,274
620	Interpretive Services	-	-	-
650	Encounter Evaluation Sanctions	-	-	-
651	Non ADHS and/or Unrelated Admin. Expense	-	-	-
652	Subtotal Administrative Expense	21,638	21,637	43,274
701	Unrelated Business Expenses	-	-	-
790	Income Tax Provisions			
a	ADHS Income Tax Provision	-	0	0
b	Non ADHS Income Tax Provision	-	-	-
799	Subtotal Income Tax Provision	-	0	0
800	TOTAL EXPENSES	288,500	288,499	577,000
801	INC/(DEC) IN NET ASSETS/EQUITY	(0)	1	1

CENPATICO 3

STATEMENT OF ACTIVITIES

CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

				State Fiscal Year-to-date
		July 1, 2013- September 30, 2013	October 1, 2013 - June 30, 2014	July 1, 2013 - June 30, 2014
		NTXIX/XXI SMI	NTXIX/XXI SMI	NTXIX/XXI SMI
REVENUE				
401	Revenue Under ADHS Contract			
a	ADHS Revenue	441,104	441,104	882,208
b	ADHS Revenue - Qualifying Incentive Payments	-	-	-
402	Specialty & Other Grants	-	-	-
403	Client Fees (Co-pays)	-	-	-
404	Third Party Recoveries	-	-	-
a	Medicare	-	-	-
b	Other Insurance	-	-	-
405	Interest Income	-	-	-
406	Other Behavioral Health Funding Sources - Non ADHS	-	-	-
407	Unrelated Business Revenue	-	-	-
408	TOTAL REVENUE	<u>441,104</u>	<u>441,104</u>	<u>882,208</u>
EXPENSES				
Service Expenses:				
501	Treatment Services			
a	Counseling			
1	Counseling, Individual	-	-	-
2	Counseling, Family	-	-	-
3	Counseling, Group	-	-	-
b	Assessment, Evaluation and Screening	(203)	31,107	30,904
c	Other Professional	-	-	-
d	Total Treatment Services	<u>(203)</u>	<u>31,107</u>	<u>30,904</u>
502	Rehabilitation Services			
a	Living Skills Training	9,826	13,920	23,746
b	Cognitive Rehabilitation	-	-	-
c	Health Promotion	(7,039)	907	(6,132)
d	Supported Employment Services	44,113	26,066	70,178
e	Total Rehabilitation Services	<u>46,900</u>	<u>40,892</u>	<u>87,792</u>
503	Medical Services			
a	Medication Services	1,678	4,134	5,812
b	Medical Management	26,521	16,461	42,982
c	Laboratory, Radiology & Medical Imaging	-	5	5
d	Electro-Convulsive Therapy	-	-	-
e	Total Medical Services	<u>28,199</u>	<u>20,601</u>	<u>48,799</u>
504	Support Services			
a	Case Management	68,184	61,110	129,295
b	Personal Care Services	2,508	3,114	5,622
c	Family Support	903	725	1,628
d	Peer Support	122,493	98,981	221,475
e	Home Care Training to Home Care Client	-	-	-
f	Unskilled Respite Care	(19,076)	1,811	(17,265)
g	Supported Housing	14,855	14,855	29,710
h	Flex Fund Services	-	-	-
i	Transportation	81,958	48,096	130,054
j	Total Support Services	<u>271,825</u>	<u>228,694</u>	<u>500,519</u>
505	Crisis Intervention Services			
a	Crisis Intervention - Mobile	-	-	-
b	Crisis Intervention - Stabilization	-	-	-
c	Crisis Intervention - Telephone	-	-	-
d	Total Crisis Intervention Services	<u>-</u>	<u>-</u>	<u>-</u>
506	Inpatient Services			
a	Hospital	-	-	-
1	Psychiatric (Provider Types 02 & 71)	-	-	-
2	Detoxification (Provider Types 02 & 71)	-	-	-
b	Sub acute Facility	-	-	-
1	Psychiatric (Provider Types B5 & B6)	-	-	-
2	Detoxification (Provider Types B5 & B6)	-	-	-
c	Residential Treatment Center (RTC)	-	-	-
	Psychiatric - Secure & Non-Secure	-	-	-
1	Provider Types 78,B1,B2,B3)	-	-	-
2	(Provider Types (78,B1,B2,B3)	-	-	-
d	Inpatient Services, Professional	-	-	-
e	Total Inpatient Services	<u>-</u>	<u>-</u>	<u>-</u>
507	Residential Services			
a	Behavioral Health Residential Facilities	-	-	-
b	Reserved for Future Use	-	-	-
c	Room and Board	-	-	-
d	Total Residential Services	<u>-</u>	<u>-</u>	<u>-</u>

508	Behavioral Health Day Program	-		
a	Supervised Day Program	-	-	-
b	Therapeutic Day Program	-	-	-
c	Medical Day Program	-	-	-
d	Total Behavioral Health Day Program	-	-	-
509	Prevention Services	-		
a	Prevention	-	-	-
b	HIV	-	-	-
c	Total Prevention Services	-	-	-
510	Medication			
a	Medication Expense	61,886	87,307	149,192
b	Less Pharmacy Rebate Received	(585)	(579)	(1,165)
c	Pharmacy Rebate Related Expense	-	-	-
d	Total Medication Services	61,300	86,727	148,028
511	Other ADHS Service Expenses Not Rpt'd Above	-	-	-
513	Subtotal ADHS Service Expenses	408,021	408,021	816,042
520	Service Expenses from Non ADHS Sources	-	-	-
525	Total Service Expense	408,021	408,021	816,042

Administrative Expenses:

601	Salaries	22,224	22,223	44,446
602	Employee Benefits	2,943	2,943	5,885
603	Professional & Outside Services	-	-	-
604	Travel	4,090	4,090	8,180
605	Occupancy	3,827	3,827	7,655
606	Depreciation	-	-	-
607	All Other Operating*	-	-	-
608	Subtotal ADHS Administrative Expenses	33,084	33,083	66,167
620	Interpretive Services	-	-	-
650	Encounter Evaluation Sanctions	-	-	-
651	Non ADHS and/or Unrelated Admin. Expense	-	-	-
652	Subtotal Administrative Expense	33,084	33,083	66,167
701	Unrelated Business Expenses	-	-	-
790	Income Tax Provisions			
a	ADHS Income Tax Provision	-	(0)	(0)
b	Non ADHS Income Tax Provision	-	-	-
799	Subtotal Income Tax Provision	-	(0)	(0)
800	TOTAL EXPENSES	441,105	441,104	882,209
801	INC/(DEC) IN NET ASSETS/EQUITY	(1)	0	(1)

CENPATICO 4

STATEMENT OF ACTIVITIES

CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

State Fiscal
Year-to-dateJuly 1, 2013-
September 30, 2013October 1, 2013 -
June 30, 2014July 1, 2013 -
June 30, 2014

		NTXIX/XXI SMI	NTXIX/XXI SMI	NTXIX/XXI SMI
REVENUE				
401	Revenue Under ADHS Contract			
a	ADHS Revenue	591,703	591,703	1,183,406
b	ADHS Revenue - Qualifying Incentive Payments	-	-	-
402	Specialty & Other Grants	-	-	-
403	Client Fees (Co-pays)	-	-	-
404	Third Party Recoveries	-	-	-
a	Medicare	-	-	-
b	Other Insurance	-	-	-
405	Interest Income	-	-	-
406	Other Behavioral Health Funding Sources - Non ADHS	-	-	-
407	Unrelated Business Revenue	-	-	-
408	TOTAL REVENUE	<u>591,703</u>	<u>591,703</u>	<u>1,183,406</u>
EXPENSES				
Service Expenses:				
501	Treatment Services			
a	Counseling			
1	Counseling, Individual	-	-	-
2	Counseling, Family	-	-	-
3	Counseling, Group	-	-	-
b	Assessment, Evaluation and Screening	2,149	30,574	32,723
c	Other Professional	-	-	-
d	Total Treatment Services	<u>2,149</u>	<u>30,574</u>	<u>32,723</u>
502	Rehabilitation Services			
a	Living Skills Training	22,956	17,546	40,502
b	Cognitive Rehabilitation	-	-	-
c	Health Promotion	1,690	16,912	18,603
d	Supported Employment Services	46,884	18,379	65,263
e	Total Rehabilitation Services	<u>71,530</u>	<u>52,837</u>	<u>124,368</u>
503	Medical Services			
a	Medication Services	2,700	2,767	5,467
b	Medical Management	47,411	25,824	73,235
c	Laboratory, Radiology & Medical Imaging	43	60	103
d	Electro-Convulsive Therapy	-	-	-
e	Total Medical Services	<u>50,153</u>	<u>28,652</u>	<u>78,805</u>
504	Support Services			
a	Case Management	145,005	109,726	254,732
b	Personal Care Services	11,822	12,844	24,666
c	Family Support	709	37	746
d	Peer Support	118,177	129,829	248,006
e	Home Care Training to Home Care Client	-	-	-
f	Unskilled Respite Care	554	964	1,518
g	Supported Housing	22,426	22,426	44,852
h	Flex Fund Services	-	-	-
i	Transportation	56,746	48,143	104,888
j	Total Support Services	<u>355,439</u>	<u>323,969</u>	<u>679,407</u>
505	Crisis Intervention Services			
a	Crisis Intervention - Mobile	-	-	-
b	Crisis Intervention - Stabilization	-	-	-
c	Crisis Intervention - Telephone	-	-	-
d	Total Crisis Intervention Services	<u>-</u>	<u>-</u>	<u>-</u>
506	Inpatient Services			
a	Hospital	-	-	-
1	Psychiatric (Provider Types 02 & 71)	-	-	-
2	Detoxification (Provider Types 02 & 71)	-	-	-
b	Sub acute Facility	-	-	-
1	Psychiatric (Provider Types B5 & B6)	-	-	-
2	Detoxification (Provider Types B5 & B6)	-	-	-
c	Residential Treatment Center (RTC)	-	-	-
	Psychiatric - Secure & Non-Secure	-	-	-
1	Provider Types 78,B1,B2,B3)	-	-	-
2	(Provider Types (78,B1,B2,B3)	-	-	-
d	Inpatient Services, Professional	-	-	-
e	Total Inpatient Services	<u>-</u>	<u>-</u>	<u>-</u>
507	Residential Services			
a	Behavioral Health Residential Facilities	-	-	-
b	Reserved for Future Use	-	-	-
c	Room and Board	-	-	-
d	Total Residential Services	<u>-</u>	<u>-</u>	<u>-</u>

508	Behavioral Health Day Program			
a	Supervised Day Program	-	-	-
b	Therapeutic Day Program	-	-	-
c	Medical Day Program	-	-	-
d	Total Behavioral Health Day Program	-	-	-
509	Prevention Services			
a	Prevention	-	-	-
b	HIV	-	-	-
c	Total Prevention Services	-	-	-
510	Medication			
a	Medication Expense	68,634	111,929	180,563
b	Less Pharmacy Rebate Received	(581)	(636)	(1,216)
c	Pharmacy Rebate Related Expense	-	-	-
d	Total Medication Services	68,053	111,294	179,347
511	Other ADHS Service Expenses Not Rpt'd Above	-	-	-
513	Subtotal ADHS Service Expenses	547,325	547,325	1,094,651
520	Service Expenses from Non ADHS Sources	-	-	-
525	Total Service Expense	547,325	547,325	1,094,651
Administrative Expenses:				
601	Salaries	29,811	29,810	59,621
602	Employee Benefits	3,947	3,947	7,895
603	Professional & Outside Services	-	-	-
604	Travel	5,486	5,486	10,973
605	Occupancy	5,134	5,134	10,268
606	Depreciation	-	-	-
607	All Other Operating*	-	-	-
608	Subtotal ADHS Administrative Expenses	44,379	44,378	88,756
620	Interpretive Services	-	-	-
650	Encounter Evaluation Sanctions	-	-	-
651	Non ADHS and/or Unrelated Admin. Expense	-	-	-
652	Subtotal Administrative Expense	44,379	44,378	88,756
701	Unrelated Business Expenses	-	-	-
790	Income Tax Provisions			
a	ADHS Income Tax Provision	-	(0)	(0)
b	Non ADHS Income Tax Provision	0	-	-
799	Subtotal Income Tax Provision	-	(0)	(0)
800	TOTAL EXPENSES	591,704	591,703	1,183,407
		-	-	-
801	INC/(DEC) IN NET ASSETS/EQUITY	(1)	0	(1)

CENPATICO 2

Comparison Between Actual NTXIX SMI Expenses to Plan
CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

Enrollment (For ADHS Input Only)

REVENUE

Revenue Under ADHS Contract

Service Revenue (92.5% of ADHS Revenue)

EXPENSES

Service Expenses:

	As Reported NTXIX/XXI SMI	% of ADHS Service Revenue	Plan	% of ADHS Service Revenue	Variance (Overspent) Underspent	% (Over) (Under Plan)
502						
a						
c						
d						
e						
503						
a						
b						
c						
501b						
510 d						
504						
a						
b						
c						
d						
f						
g						
i						
j						
525						

On a quarterly basis, provide justification regarding variances from approved NTXIX/XXI SMI spending plan. Include lessons learned and indicate where efforts will be focused in order to align service expenses with targeted percentages.

Variance Justification:

Service delivery patterns in this GSA continue to evolve as funds are moved from intake agencies to PFROs. Funding has been shifted to PFROs from Intake Agencies, creating a greater impetus for referring members to PFROs. As the referrals increase, the percentages for actual service delivery in each category will more closely conform to the target percentages. Over utilization of case management and transportation will be addressed with the intake agencies. The planned amount for Family Support and Unskilled Respite Care may have been set too high for the population. All encounters will be monitored closely during this transition period to ensure services increase in the areas of rehabilitation services.

CENPATICO 3

Comparison Between Actual NTXIX SMI Expenses to Plan
CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

Enrollment (For ADHS Input Only)
REVENUE

Revenue Under ADHS Contract

Service Revenue (92.5% of ADHS Revenue)

EXPENSES
Service Expenses:

502	Rehabilitation Services						
a	Living Skills Training	23,746	2.9%	57,123	7.0%	33,377	140.6%
c	Health Promotion	(6,132)	-0.8%	16,321	2.0%	22,453	-366.1%
d	Supported Employment Services	70,178	8.6%	57,123	7.0%	(13,055)	-18.6%
e	Total Rehabilitation Services	87,792	10.8%	130,567	16.0%	42,775	48.7%
503	Medical Services						
a	Medication Services	5,812					
b	Medical Management	42,982					
c	Laboratory, Radiology & Medical Imaging	5					
501b	Assessment Evaluation and Screening	30,904					
510 d	Total Pharmacy Expense	148,028					
	Total Medical Services	227,731	27.9%	252,973	31.0%	25,242	11.1%
504	Support Services						
a	Case Management	129,295	15.8%	155,048	19.0%	25,753	19.9%
b	Personal Care Services	5,622	0.7%	24,481	3.0%	18,859	335.4%
c	Family Support	1,628	0.2%	24,481	3.0%	22,853	1403.9%
d	Peer Support	221,475	27.1%	122,406	15.0%	(99,068)	-44.7%
f	Unskilled Respite Care	(17,265)	-2.1%	16,321	2.0%	33,586	-194.5%
g	Supported Housing	29,710	3.6%	32,642	4.0%	2,931	9.9%
i	Transportation	130,054	15.9%	57,123	7.0%	(72,931)	-56.1%
j	Total Support Services	500,519	61.3%	432,502	53.0%	(68,017)	-13.6%
525	Total Service Expenses	816,042	100.0%	816,042	100.0%	(0)	0.0%

On a quarterly basis, provide justification regarding variances from approved NTXIX/XXI SMI spending plan.
Include lessons learned and indicate where efforts will be focused in order to align service expenses with targeted percentages.

Variance Justification:

Service delivery patterns in this GSA continue to evolve as funds are moved from intake agencies to PFROs. Funding has been shifted to PFROs from Intake Agencies, creating a greater impetus for referring members to PFROs. As the referrals increase, the percentages for actual service delivery in each category will more closely conform to the target percentages. Over utilization of transportation will be addressed with the intake agencies. The planned amount for Family Support and Unskilled Respite Care may have been set too high for the population. All encounters will be monitored closely during this transition period to ensure services increase in the areas of rehabilitation services.

CENPATICO 4

Comparison Between Actual NTXIX SMI Expenses to Plan
CONTRACT PERIOD TO DATE AS OF:

December 31, 2013

Enrollment (For ADHS Input Only)
REVENUE

Revenue Under ADHS Contract

Service Revenue (92.5% of ADHS Revenue)

EXPENSES
Service Expenses:

	As Reported NTXIX/XXI SMI	% of ADHS Service Revenue	Plan	% of ADHS Service Revenue	Variance (Overspent) Underspent	% (Over) Under Plan
502 Rehabilitation Services						
a Living Skills Training	40,502	3.7%	76,626	7.0%	36,123	0.0%
c Health Promotion	18,603	1.7%	21,893	2.0%	3,290	17.7%
d Supported Employment Services	65,263	6.0%	65,679	6.0%	416	0.6%
e Total Rehabilitation Services	124,368	11.4%	164,198	15.0%	39,830	32.0%
503 Medical Services						
a Medication Services	5,467					
b Medical Management	73,235					
c Laboratory, Radiology & Medical Imaging	103					
501b Assessment Evaluation and Screening	32,723					
510 d Total Pharmacy Expense	179,347					
Total Medical Services	290,876	26.6%	350,288	32.0%	59,412	20.4%
504 Support Services						
a Case Management	254,732	23.3%	197,037	18.0%	(57,695)	-22.6%
b Personal Care Services	24,666	2.3%	32,840	3.0%	8,173	33.1%
c Family Support	746	0.1%	43,786	4.0%	43,040	5771.9%
d Peer Support	248,006	22.7%	175,144	16.0%	(72,861)	-29.4%
f Unskilled Respite Care	1,518	0.1%	21,893	2.0%	20,375	1342.2%
g Supported Housing	44,852	4.1%	43,786	4.0%	(1,066)	-2.4%
i Transportation	104,888	9.6%	65,679	6.0%	(39,209)	-37.4%
j Total Support Services	679,407	62.1%	580,165	53.0%	(99,243)	-14.6%
525 Total Service Expenses	1,094,651	100.0%	1,094,651	100.0%	(0)	0.0%

On a quarterly basis, provide justification regarding variances from approved NTXIX/XXI SMI spending plan.
Include lessons learned and indicate where efforts will be focused in order to align service expenses with targeted percentages.

Variance Justification:

Service delivery patterns in this GSA continue to evolve as funds are moved from intake agencies to PFRs.
Funding has been shifted to PFRs from Intake Agencies, creating a greater impetus for referring members to PFRs.
As the referrals increase, the percentages for actual service delivery in each category will more closely conform to the target percentages.
Over utilization of case management and transportation will be addressed with the intake agencies.
The planned amount for Family Support and Unskilled Respite Care may have been set too high for the population.
All encounters will be monitored closely during this transition period to ensure services increase in the areas of rehabilitation services.

CENPATICO**STATEMENT OF CASH FLOWS****CONTRACT PERIOD TO DATE AS OF:** December 31, 2013**CASH FLOWS FROM OPERATING ACTIVITIES:**

Changes in Net Assets	905,066
Adjustments to Reconcile Excess of Revenue Over/(Under) Expenses to Net Cash Provided (Used) by Operating Activities:	
Depreciation and Amortization	30,174
Amortization of Bond Issuance Costs	
Changes in Operating Assets and Liabilities	
(Increases)/Decreases in Assets:	
Current Investments	-
Receivables	1,111,355
Inventory & Prepaid Expenses	(93,705)
Interest Receivable	(25,396)
Deposits	-
Other	1,041,723
Increases/(Decreases) in Liabilities:	
IBNR	(682,043)
RBUC	(118,090)
Accounts Payable to ADHS	990,156
Loss Contingencies	
Accounts Payable to Providers	(1,830,686)
Interest Payable	
Trade Accounts Payable	
Accrued Salaries & Benefits	(40,622)
Other Liabilities	(4,983,456)
NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES	<hr/> (3,695,524)

CASH FLOWS FROM INVESTING ACTIVITIES

Disposal of Property & Equipment	
Purchases of Property & Equipment	
Proceeds from Sales of Investments	
Purchase of Investments	
NET CASH PROVIDED (USED) BY INVESTING ACTIVITIES	<hr/> -

CASH FLOWS FROM FINANCING ACTIVITIES:

Acquisition of Debt (Describe on Schedule A)	
Payment of Lease Obligations	
Dividend to Parent	
Payment of Other Debts (Describe on Schedule A)	
NET CASH PROVIDED (USED) BY FINANCING ACTIVITIES	<hr/> -
NET INCREASE/(DECREASE) IN CASH	(3,695,524)
BEGINNING CASH	<hr/> 35,507,086
ENDING CASH BALANCE *	<hr/> <hr/> 31,811,562

***NOTE: ENDING CASH BALANCE MUST AGREE WITH TOTAL CASH BALANCE ON BALANCE SHEET**

CENPATICO
STATEMENT OF CASH FLOWS
CONTRACT PERIOD TO DATE AS OF: December 31, 2013
Schedule A Disclosure

Describe:

1. Sources and amounts of cash received for other grants.

0

2. Underlying transactions for acquisition of debt.
(Debtor, amount, purpose of loan, term, interest rate of debt acquired.)

3. Underlying transactions for retirement of debt.
(Debtor, amount paid off.)

Cash Flows From Financing Activities - Payment of Other Debt

0

Cash Flows From Investing Activities - Payment of Other Debt

0

4. Supplemental data or non-cash investing and financing activities, gifts, etc.